

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cox
Serial No.: 10/037,010
Filed: December 31, 2001
Due Date: March 31, 2002
Title: TUNABLE LASER ASSEMBLY

Examiner: Unknown
Group Art Unit: Unknown
Docket: H0001575 (M&G 13358.7US01)

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on March 4, 2002.

By: Victoria Hanson

Victoria Hanson

Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to File Missing Parts of NonProvisional Application - Part 2
- ☒ Signed Declaration for Utility or Design Patent Application (37 CFR 1.63)
- ☒ Other: Fee Transmittal for FY 2002; Communication
- ☒ Two return postcards

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Anna M. Nelson

Name: Anna M. Nelson
Reg. No.: 48,935
AMN/vh

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10/037,010

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Cox	Examiner:	Unknown
Serial No.:	10/037,010	Group Art Unit:	Unknown
Filed:	December 31, 2001	Docket No.:	H0001575 (13358.7US01)

Title: TUNABLE LASER ASSEMBLY

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on March 4, 2002.

By:

Victoria Hanson

Name: Victoria Hanson

Communication

COPY OF PAPER
ORIGINALLY FILED

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please send all future communication in the above-referenced patent application to:
Andrew Abeyta, Honeywell International Inc., 101 Columbia Road, POB 2245, Morristown,
New Jersey 07962.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612/332-5300

Date:

March 4, 2002

Anna M. Nelson

Anna M. Nelson
Reg. No. 48,935
AMN

This form is a Replic of PTO/SB/17 (01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

MAR 14 2002

F r FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$130

COMPLETE IF KNOWN

Application No.	10/037,010
Filing Date	12/31/01
First Named Inventor	Cox
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	H0001575(M&G 13358.7US01)

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other☒ Deposit AccountDeposit
Account
Number

01-1125

Deposit
Account
Name

Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
SUBTOTAL (1)					\$ - 0 -

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	0	11.00	0
3	0	84.00	0
Multiple Dependent Claims	0	280.00	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ - 0 -

*number previously paid, if greater; see above for Reissues

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,250	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	820	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
136	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	189	900	Request for expedited examination of a design application	
195	300	185	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

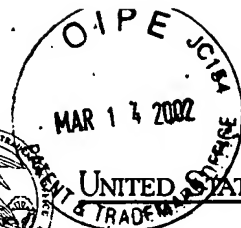
SUBTOTAL (\$) \$130

SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Complete (if applicable)	Telephone	602-313-3345
Signature				Date	2/28/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,010	12/31/2001	James Allen Cox	H0001575 (M&G) 13358.7US01

CONFIRMATION NO. 5683

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER



OC000000007401045

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PART
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice **MUST** be returned with the reply.

M. Manual

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

~~RECEIVED~~ ~~DATE~~ ~~TIME~~ ~~BY~~ ~~INITIALS~~ ~~DATE~~ ~~TIME~~ ~~BY~~ ~~INITIALS~~

~~RECEIVED~~ ~~DATE~~ ~~TIME~~ ~~BY~~ ~~INITIALS~~ ~~DATE~~ ~~TIME~~ ~~BY~~ ~~INITIALS~~